

### Investor Complaints Data for StockPlus Financial Services (Stock Broker)

#### Data for the Month Ending September 2025

| Sr. No | Received From  | Brought Forward from Previous Month | Received During the Month | Resolved During the Month | Total Pending Complaints | Pending at the end of the month |                                | Average Resolution time (in days) |
|--------|----------------|-------------------------------------|---------------------------|---------------------------|--------------------------|---------------------------------|--------------------------------|-----------------------------------|
|        |                |                                     |                           |                           |                          | Pending for less than 3 months  | Pending for more than 3 months |                                   |
| 1      | Investors      | 0                                   | 0                         | 0                         | 0                        | 0                               | 0                              | 0                                 |
| 2      | SEBI (SCORES)  | 0                                   | 0                         | 0                         | 0                        | 0                               | 0                              | 0                                 |
| 3      | Stock Exchange | 0                                   | 0                         | 0                         | 0                        | 0                               | 0                              | 0                                 |
| 4      | Other          | 0                                   | 0                         | 0                         | 0                        | 0                               | 0                              | 0                                 |
|        | <b>Total</b>   | <b>0</b>                            | <b>0</b>                  | <b>0</b>                  | <b>0</b>                 | <b>0</b>                        | <b>0</b>                       | <b>0</b>                          |

#### Trend of monthly disposal of Complaints

| Sr. No | Month           | Brought Forward from Previous Month | Received During the Month | Resolved During the Month | Pending at the end of the the Month |
|--------|-----------------|-------------------------------------|---------------------------|---------------------------|-------------------------------------|
| 1      | October, 2024   | 0                                   | 0                         | 0                         | 0                                   |
| 2      | November, 2024  | 0                                   | 0                         | 0                         | 0                                   |
| 3      | December, 2024  | 0                                   | 0                         | 0                         | 0                                   |
| 4      | January, 2025   | 0                                   | 0                         | 0                         | 0                                   |
| 5      | February, 2025  | 0                                   | 0                         | 0                         | 0                                   |
| 6      | March, 2025     | 0                                   | 0                         | 0                         | 0                                   |
| 7      | April, 2025     | 0                                   | 0                         | 0                         | 0                                   |
| 8      | May, 2025       | 0                                   | 0                         | 0                         | 0                                   |
| 9      | June, 2025      | 0                                   | 0                         | 0                         | 0                                   |
| 10     | July, 2025      | 0                                   | 0                         | 0                         | 0                                   |
| 11     | August, 2025    | 0                                   | 0                         | 0                         | 0                                   |
| 12     | September, 2025 | 0                                   | 0                         | 0                         | 0                                   |

#### Trend of Annual disposal of Complaints

| Sr. No | Year         | Brought Forward from Previous Year | Received During the Year | Resolved During the Year | Pending at the end of the Year |
|--------|--------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1      | 2020-21      | 0                                  | 0                        | 0                        | 0                              |
| 2      | 2021-22      | 0                                  | 0                        | 0                        | 0                              |
| 3      | 2022-23      | 0                                  | 0                        | 0                        | 0                              |
| 4      | 2023-24      | 0                                  | 0                        | 0                        | 0                              |
| 5      | 2024-25      | 0                                  | 0                        | 0                        | 0                              |
| 6      | 2025-26      | 0                                  | 0                        | 0                        | 0                              |
|        | <b>Total</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |